

BrokerCheck Report

AARON PIERCE SEVIGNY

CRD# 4314368

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 5
Registration and Employment History	7 - 8
Disclosure Events	9



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)



AARON P. SEVIGNY

CRD# 4314368

Currently employed by and registered with the following Firm(s):

IA UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
 3511 Bonita Bay Blvd
 STE 2
 Bonita Springs, FL 34134
 CRD# 20804
 Registered with this firm since: 08/25/2006

B UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
 3511 Bonita Bay Blvd
 STE 2
 Bonita Springs, FL 34134
 CRD# 20804
 Registered with this firm since: 08/21/2006

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 34 U.S. states and territories

This broker has passed:

- 2 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- IA FINANCIAL ADVISORY CONSULTANTS, LLC**
 CRD# 122629
 NAPLES, FL
 06/2006 - 08/2006
- B TRIAD ADVISORS, INC.**
 CRD# 25803
 NAPLES, FL
 08/2004 - 08/2006
- B MONY SECURITIES CORPORATION**
 CRD# 4386
 NEW YORK, NY
 10/2003 - 08/2004

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Customer Dispute	5



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 34 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER**

Main Office Address: **7333 E. DOUBLETREE RANCH ROAD #120
SCOTTSDALE, AZ 85258-2023**

Firm CRD#: **20804**

SRO	Category	Status	Date
B FINRA	General Securities Representative	Approved	08/21/2006
B FINRA	General Securities Principal	Approved	10/12/2006
B FINRA	Registered Options Principal	Approved	06/25/2007

U.S. State/ Territory	Category	Status	Date
B Alabama	Agent	Approved	01/02/2024
B Arizona	Agent	Approved	07/20/2010
B California	Agent	Approved	10/03/2008
B Colorado	Agent	Approved	01/02/2019
B Connecticut	Agent	Approved	07/20/2010
B Delaware	Agent	Approved	06/07/2007
B Florida	Agent	Approved	08/21/2006
IA Florida	Investment Adviser Representative	Approved	08/25/2006
B Georgia	Agent	Approved	07/20/2010
B Idaho	Agent	Approved	07/20/2010



Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Illinois	Agent	Approved	02/12/2007
B	Indiana	Agent	Approved	05/07/2008
B	Kentucky	Agent	Approved	06/07/2007
B	Maine	Agent	Approved	06/07/2007
B	Maryland	Agent	Approved	02/04/2014
B	Massachusetts	Agent	Approved	09/07/2006
B	Michigan	Agent	Approved	04/11/2007
B	Minnesota	Agent	Approved	02/26/2013
B	Missouri	Agent	Approved	11/30/2012
B	Nevada	Agent	Approved	02/07/2012
B	New Hampshire	Agent	Approved	06/07/2007
B	New Jersey	Agent	Approved	06/07/2007
B	New York	Agent	Approved	01/23/2007
B	North Carolina	Agent	Approved	06/08/2007
B	Ohio	Agent	Approved	03/27/2007
B	Pennsylvania	Agent	Approved	06/27/2007
B	Rhode Island	Agent	Approved	07/20/2010
B	South Carolina	Agent	Approved	09/21/2016
B	South Dakota	Agent	Approved	01/02/2019
B	Tennessee	Agent	Approved	09/12/2024
B	Texas	Agent	Approved	03/28/2022



Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Virginia	Agent	Approved	04/04/2008
B	West Virginia	Agent	Approved	01/02/2013
B	Wisconsin	Agent	Approved	04/07/2008
B	Wyoming	Agent	Approved	09/25/2015

Branch Office Locations

UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER

3511 Bonita Bay Blvd
STE 2
Bonita Springs, FL 34134



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B Registered Options Principal Examination	Series 4	06/22/2007
B General Securities Principal Examination	Series 24	10/11/2006

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	10/21/2003

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	01/10/2005

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 06/2006 - 08/2006	FINANCIAL ADVISORY CONSULTANTS, LLC	122629	NAPLES, FL
B 08/2004 - 08/2006	TRIAD ADVISORS, INC.	25803	NAPLES, FL
B 10/2003 - 08/2004	MONY SECURITIES CORPORATION	4386	NEW YORK, NY

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
02/2026 - Present	SilverSource Data, LLC	Owner	N	Bonita Springs, FL, United States
01/2026 - Present	TENARON, LLC	OWNER	N	BONITA SPRINGS, FL, United States
09/2016 - Present	Tenarenta, LLC	Owner	N	Estero, FL, United States
08/2006 - Present	ACADIA WEALTH MANAGEMENT, LLC	OWNER	Y	ESTERO, FL, United States
08/2006 - Present	Acadia Wealth Management, LLC	Owner	N	Estero, FL, United States
08/2006 - Present	UNITED PLANNERS' FINANCIAL SERVICES	REG REP	Y	SCOTTSDALE, AZ, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1.) ACADIA WEALTH MANAGEMENT LLC - PRESIDENT & CEO - DBA NAME FOR MARKETING PURPOSES ONLY - BONITA SPRINGS, FL - SINCE 08/2006 - INVESTMENT RELATED
- 2.) TENARON, LLC - OWNER - POLLING - BONITA SPRINGS, FL - SINCE 01/2026 - INVESTMENT RELATED
- 3.) GULFSHORE PLAYHOUSE - FINANCE COMMITTEE MEMBER - REGIONAL PROFESSIONAL THEATER, OVERSEE THE DAY-TO-DAY INCOME AND EXPENDITURES REQUIRED TO OPERATE THIS NON-PROFIT ORGANIZATION - NAPLES, FL - SINCE 10/2015 - NOT

Registration and Employment History



Other Business Activities, continued

INVESTMENT RELATED

4.) TENARENTA, LLC - OWNER - BOAT RENTAL - ESTERO, FL - SINCE 09/2016 - INVESTMENT RELATED

5.) SILVERSOURCE DATA, LLC - OWNER - CONDUCTING SURVEYS - BONITA SPRINGS, FL - SINCE 02/2026 - NOT INVESTMENT RELATED



Disclosure Events

What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
 -
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Customer Dispute	2	3	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Customer Dispute - Settled

This type of disclosure event involves a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit containing allegations of sale practice violations against the broker that resulted in a monetary settlement to the customer.

Disclosure 1 of 3

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
Allegations:	Unsuitability, common law fraud, breach of contract, breach of fiduciary duty, negligence, aiding and abetting fraud and violation of Florida Securities Act.
Product Type:	Other: Alternative Investments
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	Firm believes that alleged unspecified amount may exceed \$5,000.00.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	21-01802
Filing date of arbitration/CFTC reparation or civil litigation:	07/14/2021



Customer Complaint Information

Date Complaint Received:	07/23/2021
Complaint Pending?	No
Status:	Settled
Status Date:	01/25/2022
Settlement Amount:	\$102,600.00
Individual Contribution Amount:	\$0.00

Disclosure 2 of 3

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
Allegations:	Claimant alleges breach of fiduciary duty, breach of contract negligence and negligent supervision.
Product Type:	Other: Alternative Investment
Alleged Damages:	\$499,999.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	21-01047
Filing date of arbitration/CFTC reparation or civil litigation:	04/21/2021

Customer Complaint Information

Date Complaint Received:	05/05/2021
Complaint Pending?	No



Status:	Settled
Status Date:	09/07/2022
Settlement Amount:	\$49,500.00
Individual Contribution Amount:	\$0.00

Disclosure 3 of 3

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	United Planners Financial Services of America
Allegations:	Claimant alleges breach of fiduciary duty, failure to supervise and unsuitability.
Product Type:	Other: Alternative Investments
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	Claimant does not specify a damage amount and firm determined amount would not be less than \$5,000.00.

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	21-00216
Date Notice/Process Served:	01/28/2021
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	10/13/2022
Monetary Compensation Amount:	\$25,000.00
Individual Contribution Amount:	\$25,000.00



Customer Dispute - Pending

This type of disclosure event involves (1) a pending consumer-initiated, investment-related arbitration or civil suit that contains allegations of sales practice violations against the broker; or (2) a pending, consumer-initiated, investment-related written complaint containing allegations that the broker engaged in, sales practice violations resulting in compensatory damages of at least \$5,000, forgery, theft, or misappropriation, or conversion of funds or securities.

Disclosure 1 of 2

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
Allegations:	BREACH OF CONTRACT AND WARRANTIES, PROMISSORY ESTOPPEL, VIOLATION OF THE CONSUMER PROTECTION AND DECEPTIVE TRADE PRACTICES ACT, VIOLATION OF STATE SECURITIES STATUTES, FRAUD, BREACH OF FIDUCIARY DUTY, NEGLIGENCE AND GROSS NEGLIGENCE, MISREPRESENTATION/OMMISSION AND NEGLIGENT MISREPRESENTATION/OMMISSION, UNJUST ENRICHMENT, COMMON LAW, STATUTORY CLAIMS AND DAMAGES, AND VICARIOUS & CONTROL PERSON LIABILITY.
Product Type:	Other: Alternative Investment
Alleged Damages:	\$1,000,000.00
Alleged Damages Amount Explanation (if amount not exact):	Alleged damage amount is between \$500,000.00 and \$1,000,000.00.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	25-02862
Filing date of arbitration/CFTC reparation or civil litigation:	01/06/2026

Customer Complaint Information

Date Complaint Received: 01/06/2026



Complaint Pending? Yes

Status:

Status Date: 01/06/2026

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 2

Reporting Source: Broker

Employing firm when activities occurred which led to the complaint: UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER

Allegations: Violation of Section 10(b) of the Exchange Act and Rule 10b-5, Section 20(a) Controlling Person Liability Under the Exchange Act, Violation of RICO, 18 U.S.C. § 1962(c), RICO Conspiracy, 18 U.S.C. § 1962(d), New Jersey Consumer Fraud Act, N.J.S.A. 56:8-1 et seq., Common-Law Fraud, Breach of Fiduciary Duty, Negligence, Aiding and Abetting Fraud and Breach of Fiduciary Duty.

Product Type: Other: Alternative Investment

Alleged Damages: \$2,000,000.00

Civil Litigation Information

Type of Court: Federal Court

Name of Court: UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY

Location of Court: NEW JERSEY, UNITED STATES

Docket/Case #: 1:26-cv-00619

Date Notice/Process Served: 01/20/2026

Litigation Pending? Yes

End of Report



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